	HEALTH CARE PROFESSIONAL LICENSING AMENDMENTS
2	2020 GENERAL SESSION
3	STATE OF UTAH
4	Chief Sponsor: Brad M. Daw
5	Senate Sponsor: Allen M. Christensen
5 7	LONG TITLE
3	Committee Note:
)	The Health and Human Services Interim Committee recommended this bill.
	Legislative Vote: 13 voting for 0 voting against 4 absent
	General Description:
	This bill amends the definition of unprofessional conduct for prescribing health care
	professionals and pharmacists.
	Highlighted Provisions:
	This bill:
	<ul> <li>adds a provision to each health care profession's definition of unprofessional</li> </ul>
	conduct to include:
	<ul> <li>knowingly entering false or misleading information on a medical record; or</li> </ul>
	<ul> <li>knowingly altering a medical record for the purpose of concealing any</li> </ul>
	circumstance related to the health care provided to a patient.
	Money Appropriated in this Bill:
	None
	Other Special Clauses:
	None
	<b>Utah Code Sections Affected:</b>
	AMENDS:
	58-5a-102, as last amended by Laws of Utah 2015, Chapter 230



58-16a-502, as last amended by Laws of Utah 2012, Chapter 234
58-17b-502, as last amended by Laws of Utah 2019, First Special Session, Chapter 5
58-31b-502, as last amended by Laws of Utah 2019, Chapter 233
58-44a-502, as last amended by Laws of Utah 2012, Chapter 285
58-67-502, as last amended by Laws of Utah 2019, First Special Session, Chapter 5
58-68-502, as last amended by Laws of Utah 2019, First Special Session, Chapter 5
58-69-502, as last amended by Laws of Utah 2006, Chapter 158
58-70a-503, as last amended by Laws of Utah 2018, Third Special Session, Chapter 1
58-71-502, as enacted by Laws of Utah 1996, Chapter 282
Be it enacted by the Legislature of the state of Utah:
Section 1. Section <b>58-5a-102</b> is amended to read:
58-5a-102. Definitions.
In addition to the definitions under Section 58-1-102, as used in this chapter:
(1) "Board" means the Podiatric Physician Board created in Section 58-5a-201.
(2) "Indirect supervision" means the same as that term is defined by the division by
rule made in accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act.
(3) "Medical assistant" means an unlicensed individual working under the indirect
supervision of a licensed podiatric physician and engaging in specific tasks assigned by the
licensed podiatric physician in accordance with the standards and ethics of the podiatry
profession.
(4) "Practice of podiatry" means the diagnosis and treatment of conditions affecting the
human foot and ankle and their manifestations of systemic conditions by all appropriate and
lawful means, subject to Section 58-5a-103.
(5) "Unlawful conduct" includes:
(a) the conduct that constitutes unlawful conduct under Section 58-1-501; and
(b) for an individual who is not licensed under this chapter:
(i) using the title or name podiatric physician, podiatrist, podiatric surgeon, foot doctor,
foot specialist, or D.P.M.; or
(ii) implying or representing that the individual is qualified to practice podiatry.
(6) "Unprofessional conduct" includes, for an individual licensed under this chapter:

59	(a) the conduct that constitutes unprofessional conduct under Section 58-1-501;
60	(b) communicating to a third party, without the consent of the patient, information the
61	individual acquires in treating the patient, except as necessary for professional consultation
62	regarding treatment of the patient;
63	(c) allowing the individual's name or license to be used by an individual who is not
64	licensed to practice podiatry under this chapter;
65	(d) except as described in Section 58-5a-306, employing, directly or indirectly, any
66	unlicensed individual to practice podiatry;
67	(e) using alcohol or drugs, to the extent the individual's use of alcohol or drugs impairs
68	the individual's ability to practice podiatry;
69	(f) unlawfully prescribing, selling, or giving away any prescription drug, including
70	controlled substances, as defined in Section 58-37-2;
71	(g) gross incompetency in the practice of podiatry;
72	(h) willfully and intentionally making a false statement or entry in hospital records,
73	medical records, or reports;
74	(i) willfully making a false statement in reports or claim forms to governmental
75	agencies or insurance companies with the intent to secure payment not rightfully due;
76	(j) willfully using false or fraudulent advertising; [and]
77	(k) conduct the division defines as unprofessional conduct by rule made in accordance
78	with Title 63G, Chapter 3, Utah Administrative Rulemaking Act[-]; or
79	(1) knowingly:
80	(i) entering into any medical record any false or misleading information; or
81	(ii) altering a medical record in any way for the purpose of concealing an act, omission
82	or record of events, medical condition, or any other circumstance related to the patient and the
83	medical or podiatric care provided.
84	Section 2. Section <b>58-16a-502</b> is amended to read:
85	58-16a-502. Unprofessional conduct.
86	"Unprofessional conduct" includes, in addition to the definition in Section 58-1-501:
87	(1) using or employing the services of an optometric assistant to assist a licensee in any
88	manner not in accordance with:
89	(a) the generally recognized practices and standards of ethics of the profession; or

90	(b) applicable state law or division rule;
91	(2) failure to refer a patient to an appropriate licensed practitioner when:
92	(a) the patient's condition does not respond to treatment; or
93	(b) the treatment is not within the scope of competence or licensure of the licensee;
94	(3) providing confidential information regarding a patient to any third party who does
95	not have a legal and professional ground for obtaining the information;
96	(4) knowingly prescribing, selling, giving away, or administering any prescription drug
97	unless:
98	(a) for a legitimate medical purpose;
99	(b) upon a proper diagnosis indicating the use of the drug in the amount prescribed or
100	provided; and
101	(c) in compliance with Section 58-17b-309;
102	(5) giving or receiving directly or indirectly any fee, commission, rebate, or other
103	compensation for professional services not actually and personally rendered, except as part of a
104	legal relationship within a lawful professional partnership, corporation, or association;
105	(6) failure to transfer pertinent and necessary information from a patient's medical
106	records to another optometrist or physician when so requested by the patient or his
107	representative, as designated in writing; [or]
108	(7) failure to provide a contact lens prescription to a person who sells contact lenses in
109	accordance with Section 58-16a-306[-]; or
110	(8) knowingly:
111	(a) entering into any medical record any false or misleading information; or
112	(b) altering a medical record in any way for the purpose of concealing an act, omission,
113	or record of events, medical condition, or any other circumstance related to the patient and the
114	medical or optometric care provided.
115	Section 3. Section <b>58-17b-502</b> is amended to read:
116	58-17b-502. Unprofessional conduct.
117	(1) "Unprofessional conduct" includes:
118	(a) willfully deceiving or attempting to deceive the division, the board, or their agents
119	as to any relevant matter regarding compliance under this chapter;
120	(b) except as provided in Subsection (2):

121 (i) paying or offering rebates to practitioners or any other health care providers, or 122 receiving or soliciting rebates from practitioners or any other health care provider; or 123 (ii) paying, offering, receiving, or soliciting compensation in the form of a commission, 124 bonus, rebate, kickback, or split fee arrangement with practitioners or any other health care 125 provider, for the purpose of obtaining referrals; 126 (c) misbranding or adulteration of any drug or device or the sale, distribution, or 127 dispensing of any outdated, misbranded, or adulterated drug or device; 128 (d) engaging in the sale or purchase of drugs or devices that are samples or packages 129 bearing the inscription "sample" or "not for resale" or similar words or phrases; 130 (e) except as provided in Section 58-17b-503 or Part 9, Charitable Prescription Drug 131 Recycling Act, accepting back and redistributing any unused drug, or a part of it, after it has 132 left the premises of any pharmacy, unless the drug is in a unit pack, as defined in Section 133 58-17b-503, or the manufacturer's sealed container, as defined in rule: (f) an act in violation of this chapter committed by a person for any form of 134 135 compensation if the act is incidental to the person's professional activities, including the 136 activities of a pharmacist, pharmacy intern, or pharmacy technician; 137 (g) violating: 138 (i) the federal Controlled Substances Act. Title II, P.L. 91-513: 139 (ii) Title 58, Chapter 37, Utah Controlled Substances Act; or 140 (iii) rules or regulations adopted under either act; 141 (h) requiring or permitting pharmacy interns or technicians to engage in activities 142 outside the scope of practice for their respective license classifications, as defined in this 143 chapter and division rules made in collaboration with the board, or beyond their scope of 144 training and ability; 145 (i) administering: (i) without appropriate training, as defined by rule: 146 147 (ii) without a physician's order, when one is required by law; and 148 (iii) in conflict with a practitioner's written guidelines or written protocol for 149 administering; 150 (i) disclosing confidential patient information in violation of the provisions of the

Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, 110 Stat.

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152	1936, as amended, or other applicable law;
153	(k) engaging in the practice of pharmacy without a licensed pharmacist designated as
154	the pharmacist-in-charge;
155	(l) failing to report to the division any adverse action taken by another licensing
156	jurisdiction, government agency, law enforcement agency, or court for conduct that in
157	substance would be considered unprofessional conduct under this section;
158	(m) as a pharmacist or pharmacy intern, compounding a prescription drug in a dosage
159	form which is regularly and commonly available from a manufacturer in quantities and
160	strengths prescribed by a practitioner;
161	(n) failing to act in accordance with Title 26, Chapter 64, Family Planning Access Act,
162	when dispensing a self-administered hormonal contraceptive under a standing order; [and]
163	(o) violating the requirements of Title 26, Chapter 61a, Utah Medical Cannabis Act[-];
164	<u>or</u>
165	(p) knowingly:
166	(i) entering into any medical record any false or misleading information; or
167	(ii) altering a medical record in any way for the purpose of concealing an act, omission,
168	or record of events, medical condition, or any other circumstance related to the patient and the
169	medical or pharmaceutical care provided.
170	(2) Subsection (1)(b) does not apply to:
171	(a) giving or receiving a price discount based on purchase volume;
172	(b) passing along a pharmaceutical manufacturer's rebate; or
173	(c) providing compensation for services to a veterinarian.
174	(3) "Unprofessional conduct" does not include, in accordance with Title 26, Chapter
175	61a, Utah Medical Cannabis Act:
176	(a) when registered as a pharmacy medical provider, as that term is defined in Section
177	26-61a-102, providing pharmacy medical provider services in a medical cannabis pharmacy; or
178	(b) when acting as a state central patient portal medical provider, as that term is defined
179	in Section 26-61a-102, providing state central patient portal medical provider services.
180	(4) Notwithstanding Subsection (3), the division, in consultation with the board and in
181	accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, shall define
182	unprofessional conduct for a pharmacist described in Subsections (3)(a) and (b).

183	Section 4. Section <b>58-31b-502</b> is amended to read:
184	58-31b-502. Unprofessional conduct.
185	(1) "Unprofessional conduct" includes:
186	(a) failure to safeguard a patient's right to privacy as to the patient's person, condition,
187	diagnosis, personal effects, or any other matter about which the licensee is privileged to know
188	because of the licensee's or person with a certification's position or practice as a nurse or
189	practice as a medication aide certified;
190	(b) failure to provide nursing service or service as a medication aide certified in a
191	manner that demonstrates respect for the patient's human dignity and unique personal character
192	and needs without regard to the patient's race, religion, ethnic background, socioeconomic
193	status, age, sex, or the nature of the patient's health problem;
194	(c) engaging in sexual relations with a patient during any:
195	(i) period when a generally recognized professional relationship exists between the
196	person licensed or certified under this chapter and the patient; or
197	(ii) extended period when a patient has reasonable cause to believe a professional
198	relationship exists between the person licensed or certified under the provisions of this chapter
199	and the patient;
200	(d) (i) as a result of any circumstance under Subsection (1)(c), exploiting or using
201	information about a patient or exploiting the licensee's or the person with a certification's
202	professional relationship between the licensee or holder of a certification under this chapter and
203	the patient; or
204	(ii) exploiting the patient by use of the licensee's or person with a certification's
205	knowledge of the patient obtained while acting as a nurse or a medication aide certified;
206	(e) unlawfully obtaining, possessing, or using any prescription drug or illicit drug;
207	(f) unauthorized taking or personal use of nursing supplies from an employer;
208	(g) unauthorized taking or personal use of a patient's personal property;
209	(h) knowingly:
210	(i) entering into any medical record any false or misleading information; or

(ii) altering a medical record in any way for the purpose of concealing an act, omission,

or record of events, medical condition, or any other circumstance related to the patient and the

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medical or nursing care provided;

214	(i) unlawful or inappropriate delegation of nursing care;
215	(j) failure to exercise appropriate supervision of persons providing patient care services
216	under supervision of the licensed nurse;
217	(k) employing or aiding and abetting the employment of an unqualified or unlicensed
218	person to practice as a nurse;
219	(l) failure to file or record any medical report as required by law, impeding or
220	obstructing the filing or recording of such a report, or inducing another to fail to file or record
221	such a report;
222	(m) breach of a statutory, common law, regulatory, or ethical requirement of
223	confidentiality with respect to a person who is a patient, unless ordered by a court;
224	(n) failure to pay a penalty imposed by the division;
225	(o) prescribing a Schedule II controlled substance without complying with the
226	requirements in Section 58-31b-803, if applicable;
227	(p) violating Section 58-31b-801;
228	(q) violating the dispensing requirements of Section 58-17b-309 or Chapter 17b, Part
229	8, Dispensing Medical Practitioner and Dispensing Medical Practitioner Clinic Pharmacy, if
230	applicable; and
231	(r) establishing or operating a pain clinic without a consultation and referral plan for
232	Schedule II or III controlled substances.
233	(2) "Unprofessional conduct" does not include, in accordance with Title 26, Chapter
234	61a, Utah Medical Cannabis Act, when registered as a qualified medical provider, as that term
235	is defined in Section 26-61a-102, recommending the use of medical cannabis.
236	(3) Notwithstanding Subsection (2), the division, in consultation with the board and in
237	accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, shall define
238	unprofessional conduct for an advanced practice registered nurse described in Subsection (2).
239	Section 5. Section 58-44a-502 is amended to read:
240	58-44a-502. Unprofessional conduct.
241	"Unprofessional conduct" includes:
242	(1) disregard for a patient's dignity or right to privacy as to [his] the patient's person,
243	condition, possessions, or medical record;

(2) engaging in an act, practice, or omission which when considered with the duties

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245 and responsibilities of a certified nurse midwife does or could jeopardize the health, safety, or 246 welfare of a patient or the public; 247 (3) failure to confine one's practice as a certified nurse midwife to those acts or 248 practices permitted by law; 249 (4) failure to file or record any medical report as required by law, impeding or 250 obstructing the filing or recording of such a report, or inducing another to fail to file or record 251 such a report; 252 (5) breach of a statutory, common law, regulatory, or ethical requirement of 253 confidentiality with respect to a person who is a patient, unless ordered by the court; 254 (6) failure to pay a penalty imposed by the division; 255 (7) prescribing a schedule II-III controlled substance without a consulting physician; 256 [and] 257 (8) (a) failure to have and maintain a safe mechanism for obtaining medical 258 consultation, collaboration, and referral with a consulting physician, including failure to 259 identify one or more consulting physicians in the written documents required by Subsection 260 58-44a-102(9)(b)(iii); or 261 (b) representing that the certified nurse midwife is in compliance with Subsection 262 (8)(a) when the certified nurse midwife is not in compliance with Subsection (8)(a)[-]; or 263 (9) knowingly: (a) entering into any medical record any false or misleading information; or 264 265 (b) altering a medical record in any way for the purpose of concealing an act, omission, 266 or record of events, medical condition, or any other circumstance related to the patient and the 267 medical or midwife care provided. 268 Section 6. Section **58-67-502** is amended to read: 269 58-67-502. Unprofessional conduct. 270 (1) "Unprofessional conduct" includes, in addition to the definition in Section 271 58-1-501: 272 (a) using or employing the services of any individual to assist a licensee in any manner 273 not in accordance with the generally recognized practices, standards, or ethics of the

(b) making a material misrepresentation regarding the qualifications for licensure under

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profession, state law, or division rule;

276	Section 58-67-302.7 or Section 58-67-302.8;
277	(c) violating the dispensing requirements of Chapter 17b, Part 8, Dispensing Medical
278	Practitioner and Dispensing Medical Practitioner Clinic Pharmacy, if applicable; [or]
279	(d) violating the requirements of Title 26, Chapter 61a, Utah Medical Cannabis Act[-];
280	<u>or</u>
281	(e) knowingly:
282	(i) entering into any medical record any false or misleading information; or
283	(ii) altering a medical record in any way for the purpose of concealing an act, omission
284	or record of events, medical condition, or any other circumstance related to the patient and the
285	medical care provided.
286	(2) "Unprofessional conduct" does not include:
287	(a) in compliance with Section 58-85-103:
288	(i) obtaining an investigational drug or investigational device;
289	(ii) administering the investigational drug to an eligible patient; or
290	(iii) treating an eligible patient with the investigational drug or investigational device;
291	or
292	(b) in accordance with Title 26, Chapter 61a, Utah Medical Cannabis Act:
293	(i) when registered as a qualified medical provider, as that term is defined in Section
294	26-61a-102, recommending the use of medical cannabis;
295	(ii) when registered as a pharmacy medical provider, as that term is defined in Section
296	26-61a-102, providing pharmacy medical provider services in a medical cannabis pharmacy; or
297	(iii) when registered as a state central patient portal medical provider, as that term is
298	defined in Section 26-61a-102, providing state central patient portal medical provider services.
299	(3) Notwithstanding Subsection (2)(b), the division, in consultation with the board and
300	in accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, shall define
301	unprofessional conduct for a physician described in Subsection (2)(b).
302	Section 7. Section <b>58-68-502</b> is amended to read:
303	58-68-502. Unprofessional conduct.
304	(1) "Unprofessional conduct" includes, in addition to the definition in Section
305	58-1-501:
306	(a) using or employing the services of any individual to assist a licensee in any manner

307	not in accordance with the generally recognized practices, standards, or ethics of the
308	profession, state law, or division rule;
309	(b) violating the dispensing requirements of Chapter 17b, Part 8, Dispensing Medical
310	Practitioner and Dispensing Medical Practitioner Clinic Pharmacy, if applicable;
311	(c) making a material misrepresentation regarding the qualifications for licensure under
312	Section 58-68-302.5; [or]
313	(d) violating the requirements of Title 26, Chapter 61a, Utah Medical Cannabis Act[-];
314	<u>or</u>
315	(e) knowingly:
316	(i) entering into any medical record any false or misleading information; or
317	(ii) altering a medical record in any way for the purpose of concealing an act, omission,
318	or record of events, medical condition, or any other circumstance related to the patient and the
319	medical care provided.
320	(2) "Unprofessional conduct" does not include:
321	(a) in compliance with Section 58-85-103:
322	(i) obtaining an investigational drug or investigational device;
323	(ii) administering the investigational drug to an eligible patient; or
324	(iii) treating an eligible patient with the investigational drug or investigational device;
325	or
326	(b) in accordance with Title 26, Chapter 61a, Utah Medical Cannabis Act:
327	(i) when registered as a qualified medical provider, as that term is defined in Section
328	26-61a-102, recommending the use of medical cannabis;
329	(ii) when registered as a pharmacy medical provider, as that term is defined in Section
330	26-61a-102, providing pharmacy medical provider services in a medical cannabis pharmacy; or
331	(iii) when registered as a state central patient portal medical provider, as that term is
332	defined in Section 26-61a-102, providing state central patient portal medical provider services.
333	(3) Notwithstanding Subsection (2)(b), the division, in consultation with the board and
334	in accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, shall define
335	unprofessional conduct for a physician described in Subsection (2)(b).
336	Section 8. Section <b>58-69-502</b> is amended to read:
337	58-69-502. Unprofessional conduct.

338	(1) "Unprofessional conduct" includes, in addition to the definition in Section
339	58-1-501:
340	(a) sharing professional fees with an unlicensed person or paying any person for
341	sending or referring a patient;
342	(b) making an unsubstantiated claim of superiority in training or skill as a dentist or
343	dental hygienist or in the performance of professional services;
344	(c) refusing authorized agents of the division or state or local health authorities access
345	to the facilities related to the practice of dentistry or dental hygiene during normal business
346	hours for the purpose of inspection; [and]
347	(d) failing to maintain facilities, instruments, equipment, supplies, appliances, or other
348	property or conditions related to the practice of dentistry in a sanitary condition consistent with
349	the standards and ethics of the professions of dentistry or dental hygiene[-]; or
350	(e) knowingly:
351	(i) entering into any medical record any false or misleading information; or
352	(ii) altering a medical record in any way for the purpose of concealing an act, omission,
353	or record of events, medical condition, or any other circumstance related to the patient and the
354	medical or dental care provided.
355	(2) For purposes of Subsection (1)(b), an unsubstantiated claim of superiority:
356	(a) includes for the practice of dentistry:
357	(i) advertising or otherwise holding oneself out to the public as practicing a dental
358	specialty in which the dentist has not successfully completed the education specified for the
359	dental specialty as defined by the American Dental Association; and
360	(ii) using the following words in advertising "Endodontist," "Orthodontist," "Oral and
361	Maxillofacial Surgeon," "Specialist," "Board Certified," "Diplomat," "Practice Limited to,"
362	"Pediatric Dentist," "Periodontist," or "Limited to Specialty of" when the dentist has not
363	successfully completed the education specified for the dental specialty as defined by the
364	American Dental Association; and
365	(b) does not include a dentist who advertises as being qualified in a recognized
366	specialty area of dental practice so long as each such advertisement, regardless of form,
367	contains a prominent disclaimer that the dentist is licensed as a general dentist or that the
368	specialty services will be provided by a general dentist.

369	Section 9. Section <b>58-70a-503</b> is amended to read:
370	58-70a-503. Unprofessional conduct.
371	(1) "Unprofessional conduct" includes:
372	(a) violation of a patient confidence to any person who does not have a legal right and a
373	professional need to know the information concerning the patient;
374	(b) knowingly prescribing, selling, giving away, or directly or indirectly administering,
375	or offering to prescribe, sell, furnish, give away, or administer any prescription drug except for
376	a legitimate medical purpose upon a proper diagnosis indicating use of that drug in the amounts
377	prescribed or provided;
378	(c) prescribing prescription drugs for oneself or administering prescription drugs to
379	oneself, except those that have been legally prescribed for the physician assistant by a licensed
380	practitioner and that are used in accordance with the prescription order for the condition
381	diagnosed;
382	(d) failure to maintain at the practice site a delegation of services agreement that
383	accurately reflects current practices;
384	(e) failure to make the delegation of services agreement available to the division for
385	review upon request;
386	(f) in a practice that has physician assistant ownership interests, failure to allow the
387	supervising physician the independent final decision making authority on patient treatment
388	decisions, as set forth in the delegation of services agreement or as defined by rule; [and]
389	(g) violating the dispensing requirements of Chapter 17b, Part 8, Dispensing Medical
390	Practitioner and Dispensing Medical Practitioner Clinic Pharmacy, if applicable[-]; or
391	(h) knowingly:
392	(i) entering into any medical record any false or misleading information; or
393	(ii) altering a medical record in any way for the purpose of concealing an act, omission,
394	or record of events, medical condition, or any other circumstance related to the patient and the
395	medical care provided.
396	(2) "Unprofessional conduct" does not include, in accordance with Title 26, Chapter
397	61a, Utah Medical Cannabis Act, when registered as a qualified medical provider, as that term
398	is defined in Section 26-61a-102, recommending the use of medical cannabis.

(3) Notwithstanding Subsection (2), the division, in consultation with the board and in

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400	accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, shall define
401	unprofessional conduct for a physician assistant described in Subsection (2).
402	Section 10. Section 58-71-502 is amended to read:
403	58-71-502. Unprofessional conduct.
404	"Unprofessional conduct" includes:
405	(1) using or employing the services of any individual to assist a licensee in any manner
406	not in accordance with the generally recognized practices, standards, or ethics of the
407	profession, state law, or division rule[-]; or
408	(2) knowingly:
409	(a) entering into any medical record any false or misleading information; or
410	(b) altering a medical record in any way for the purpose of concealing an act, omission,
411	or record of events, medical condition, or any other circumstance related to the patient and the
412	medical or naturopathic care provided.